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EXAMINER

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ART UNIT	PAPER NUMBER
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1626

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Please find below and/or attached an Office communication concerning this application or proceeding.

DETAILED ACTION

Claims 38-43 are currently pending in the instant application and are rejected.

Claim Objections

Claims 39-43 are objected to under 37 CFR 1.75 as being a substantial duplicate of claim 38. When two claims in an application are duplicates or else are so close in content that they both cover the same thing, despite a slight difference in wording, it is proper after allowing one claim to object to the other as being a substantial duplicate of the allowed claim. See MPEP 706.03(k). Each of claims 38-43 claim the crystalline compound of benzisoxazole methane sulfonic acid sodium salt of Form II. While claims 39-43 recite certain X-ray diffraction, infra red spectrum data or water content for the compound, the data recited is considered properties of the compound and are inseparable from the compound itself. Furthermore, page 20 of the instant specification defines BOS-Na Form II as having all of the properties as claimed in claims 39-43. Therefore, claims 39-43 are considered duplicate claims of claim 38. This objection can be overcome by deleting claims 39-43 and inserting the data from claims 39-43 into claim 38.

Claim Rejections - 35 USC § 112

Claims 38-43 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. According to Brittain,

For routine work...one typically compares the powder pattern of the analyte to that of reference materials to establish polymorphic identity. Since every compound produces its own characteristic powder diffraction pattern owing to the unique crystallography of its structure, powder X-ray diffraction is clearly the most

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powerful and fundamental tool for a specification of the polymorphic identity of the analyte. Moreover, the USP general chapter on X-ray diffraction states that the identity is established if the scattering angles of the ten strongest reflections obtained for an analyte agree to within ± 0.20 degrees with that of the reference material, and if the relative intensities of these reflections do not vary by more than 20 percent. (see Brittain in Polymorphism in Pharmaceutical Solids, p.236).

Claim 38 does not provide any X-ray diffraction pattern data, while claims 39 and 40 contain 5 or less peaks of the X-ray diffraction pattern. Claims 41-43 also contain no X-ray diffraction data. The recitation of 5 or fewer peaks are not specific enough to particularly point out and distinctly claim the product that Applicant regards as his invention. At the very least, the claims should be amended to conform to the general practice in the art according to Brittain, i.e. include at least data for the 10 strongest peaks. However additional data such as the chemical name of the compound (sodium salt of benzisoxazole methane sulfonic acid), water content and FTIR spectrum data should also be included in order to particularly point out and distinctly claim the subject matter which applicant regards as the invention. In regards to the chemical name of the sodium salt of benzisoxazole methane sulfonic acid form II, BOS-Na Form II, it is noted that while the inventor may be his/her own lexicographer, claims 38-43 do not contain any of the physical data that particularly points out and distinctly claims the product that Applicant regards as his invention, i.e. no claim provides at least the 10 strongest peaks of the X-ray diffraction data. Form II is not a limiting element and does not define a difference in the sodium salt of benzisoxazole methane sulfonic acid. Form II is not a common well recognized term in the art to define anything. While BOS-Na Form II is a term defined by the inventors, the definition is found in the instant specification as the XRD, FTIR, and water content data. It is this data that distinguishes applicants'

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invention from the prior art and not the term BOS-Na Form II. For example, without XRD data in the claim 38, it is impossible to distinguish applicants BOS-Na Form II from any other crystalline sodium salt of benzisoxazole methane sulfonic acid of the prior art, since there is no data in the claims to distinguish applicants' crystalline salt from any other crystalline salt of the compound.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to

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be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 38-43 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 2-4 and 10-12 of copending Application No. 10/288135 (US Pre Grant Publication 20030144527). Although the conflicting claims are not identical, they are not patentably distinct from each other because the conflicting claims are claiming a crystalline sodium salt of benzisoxazole methane sulfonic acid of Form IV with the specific x-ray diffraction data as found in conflicting claim 2. Conflicting claims 2-4 and 10-12 of copending Application No. 10/288135 anticipate applicants' instant claim 38 and are therefore considered as obvious type double patenting as the term Form II does not offer any demarcation of the product from the conflicting claims crystalline product as represented by the compound name since form II is not a notation known in the chemical art representing conventional characteristic in demarcating chemical products. Furthermore, conflicting claims 2-4 and 10-12 render obvious applicants instant claims 39-43 and therefore are considered as obvious type double patenting as the difference between the conflicting claims and the instant claims is that the physical property of the conflicting claim differs. However, one having ordinary skill in the art would find the instant claims prima facie obvious because the instant claims differ from the known

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product merely by forms and the physical properties innate to the forms. As it was set forth by the court in *In re Cofer* 148 USPQ 268 and *Ex parte Hartop* 139 USPQ 525, that a product which are merely different forms of known compounds, notwithstanding that some desirable results are obtained therefrom, are unpatentable. The instant specification and claims disclose a known compound of the sodium salt of benzisoxazole methane sulfonic acid in Form II, which is **the same pure substance** as the prior art, only **having different arrangements and/or different conformations of the molecule**. Mere difference in physical property is well known conventional variation for the same pure substance (see *Brittain* p. 1-2), i.e. prima facie obvious. For a known compound with defined chemical nature to be patentable for a new form, it must have a patentability basis of an advantage in terms of stability, formulation, solubility, bioavailability, ease of purification, preparation or synthesis, hygroscopicity, recovery or prevention of precipitation, etc.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claims 38-43 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 28-31 of copending Application No. 10/662966 (US Pre Grant Publication 20040138471). Although the conflicting claims are not identical, they are not patentably distinct from each other because the elected invention of the conflicting claims is a crystalline sodium salt of benzisoxazole methane sulfonic acid. As the elected invention of the conflicting claims is a crystalline sodium salt of benzisoxazole methane sulfonic acid and pages 6-

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8 of the conflicting specification describe only 4 forms of sodium salts of benzisoxazole methane sulfonic acid, the conflicting claims render obvious applicants instant claims 38-43 and therefore are considered as obvious type double patenting as the difference between the conflicting claims and the instant claims is that conflicting claims include forms wherein the physical properties, i.e. x-ray diffraction, differs. However, one having ordinary skill in the art would find the instant claims prima facie obvious because the instant claims differ from the known product merely by forms and the physical properties innate to the forms. As it was set forth by the court in *In re Cofer* 148 USPQ 268 and *Ex parte Hartop* 139 USPQ 525, that a product which are merely different forms of known compounds, notwithstanding that some desirable results are obtained therefrom, are unpatentable. The instant specification and claims disclose a known compound of the sodium salt of benzisoxazole methane sulfonic acid in Form II, which is **the same pure substance** as the prior art, only having different arrangements and/or different conformations of the molecule. Mere difference in physical property is well known conventional variation for the same pure substance (see *Brittain* p. 1-2), i.e. prima facie obvious. For a known compound with defined chemical nature to be patentable for a new form, it must have a patentability basis of an advantage in terms of stability, formulation, solubility, bioavailability, ease of purification, preparation or synthesis, hygroscopicity, recovery or prevention of precipitation, etc.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claim Rejections - 35 USC § 102

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 38 is rejected under 35 U.S.C. 102(b) as being anticipated by US Patent No. 4,172,896. US Patent No. 4,172,896 discloses solid crystalline compounds of the same chemical structure. Specifically the reference discloses BOS-Na compounds of the formula (V), column 3, which is a crystalline compound, see example 1 (column 7, lines 11-14). Please note that one category of patentable invention is a "product". A novel or unobvious chemical product is identified first by its "chemical nature, i.e. elemental content and their ratios." It is a well known fact that "many pharmaceutical solids exhibit polymorphism which is frequently defined as the ability of a substance to exist as two or more crystalline phases that have different arrangements and/or conformations of the molecules in the crystal lattice." Thus, in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2). The term Form II does not offer any demarcation of the product from the prior art crystalline product as represented by the compound name since form II or other forms in the prior art are not notation known in the chemical art representing conventional characteristic in demarcating chemical products.

Claim 38 is rejected under 35 U.S.C. 102(b) as being anticipated by FR 2428033. FR 2428033 discloses solid crystalline compounds of the same chemical structure. Specifically the reference discloses BOS-Na compounds of the formula V, page 4 which is a crystalline compound, see example 1 (column 8, lines 28-30). Please note that one

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category of patentable invention is a "product". A novel or unobvious chemical product is identified first by its "chemical nature, i.e. elemental content and their ratios." It is a well known fact that "many pharmaceutical solids exhibit polymorphism which is frequently defined as the ability of a substance to exist as two or more crystalline phases that have different arrangements and/or conformations of the molecules in the crystal lattice." Thus, in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2). The term Form II does not offer any demarcation of the product from the prior art crystalline product as represented by the compound name since form II or other forms in the prior art are not notation known in the chemical art representing conventional characteristic in demarcating chemical products.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 38 is rejected under 35 U.S.C. 102(e) as being anticipated by US Patent No. 6,677,458.

The applied reference has a common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in

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the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

US Patent No. 6,677,458 discloses solid compounds of the same chemical structure. Specifically, the reference discloses BOS-Na on column 4, lines 42-49. Please note that one category of patentable invention is a "product". A novel or unobvious chemical product is identified first by its "chemical nature, i.e. elemental content and their ratios." It is a well known fact that "many pharmaceutical solids exhibit polymorphism which is frequently defined as the ability of a substance to exist as two or more crystalline phases that have different arrangements and/or conformations of the molecules in the crystal lattice." Thus, in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2). The term Form II does not offer any demarcation of the product from the prior art crystalline product as represented by the compound name since form II or other forms in the prior art are not notation known in the chemical art representing conventional characteristic in demarcating chemical products.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 39-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 4, 172,896 and Brittain.

Determination of the scope and content of the prior art

US Patent No. 4,172,896 discloses solid crystalline compounds of the same chemical structure. Specifically the reference discloses BOS-Na compounds of the formula (V), column 3, which is a crystalline compound, see example 1(column 7, lines 11-14).

Brittain teaches us that it is a well known fact that “many pharmaceutical solids exhibit polymorphism which is frequently defined as the ability of a substance to exist as two or more crystalline phases that have different arrangements and/or conformations of the molecules in the crystal lattice.” Thus, in the strictest sense, polymorphs are different crystalline forms of the **same pure substance** in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2).

Ascertainment of the difference between the prior art and the claims at issue

The difference between the prior art disclosure and the instant claims is that the physical property of the prior art product was not expressly included. Brittain taught that “in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2).

Resolving the level of ordinary skill in the pertinent art

One having ordinary skill in the art would find the claims prima facie obvious because the instant claims differ from the known product merely by forms and the physical properties innate to the forms. As it was recognized in the art that in the pharmaceutical field, many solids exhibit polymorphism which is the innate nature of the particular drug (see US Pharmacopia #23, national formulary #18). There is nothing unobvious about the innate nature of a drug. It is also recognized in the art that the innately existed different “morph” will display different physical properties such as X-ray diffraction patten, melting point etc. (see Brittian p. 178-179, 219). Just because it is “different” does not merit the new form patentability. As it was clearly stated by one

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having ordinary skill in the art in Brittain (p. 1-2) supra, as well as set forth by the court in In re Cofer 148 USPQ 268 and Ex parte Hartop 139 USPQ 525, that a product which are merely different forms of known compounds, notwithstanding that some desirable results are obtained therefrom, are unpatentable. The instant specification and claims disclosed known compound of the sodium salt of benzisoxazole methane sulfonic acid in Form II, which is **the same pure substance** as the prior art, only **having different arrangements and/or different conformations of the molecule**. Mere difference in physical property is well known conventional variation for the same pure substance (see Brittain p. 1-2), i.e. prima facie obvious. For a known compound with defined chemical nature to be patentable for a new form, it must have a patentability basis of an advantage in terms of stability, formulation, solubility, bioavailability, ease of purification, preparation or synthesis, hygroscopicity, recovery or prevention of precipitation etc. (see p. 185).

The instant claims are claiming a product which is the same pure substance as the prior art, and only has different arrangements and/or different conformations of the molecules without any disclosure of any unexpected properties. The mere differences in the physical properties are well known conventional variations for the same pure substance and are prima facie obvious over the prior art.

Claims 39-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over FR 2428033 and Brittain.

Determination of the scope and content of the prior art

FR 2428033 discloses slid crystalline compounds of the same chemical structure. Specifically the reference discloses BOS-Na compounds of the formula V, page 4 which is a crystalline compound, see example 1 (column 8, lines 28-30).

Brittain teaches us that it is a well known fact that “many pharmaceutical solids exhibit polymorphism which is frequently defined as the ability of a substance to exist as two or more crystalline phases that have different arrangements and/or conformations of the molecules in the crystal lattice.” Thus, in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2).

Ascertainment of the difference between the prior art and the claims at issue

The difference between the prior art disclosure and the instant claims is that the physical property of the prior art product was not expressly included. Brittain taught that “in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2).

Resolving the level of ordinary skill in the pertinent art

One having ordinary skill in the art would find the claims prima facie obvious because the instant claims differ from the known product merely by forms and the physical properties innate to the forms. As it was recognized in the art that in the pharmaceutical field, many solids exhibit polymorphism which is the innate nature of the particular drug (see US Pharmacopia #23, national formulary #18). There is nothing

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unobvious about the innate nature of a drug. It is also recognized in the art that the innately existed different "morph" will display different physical properties such as X-ray diffraction patten, melting point etc. (see Brittain p. 178-179, 219). Just because it is "different" does not merit the new form patentability. As it was clearly stated by one having ordinary skill in the art in Brittain (p. 1-2) supra, as well as set forth by the court in In re Cofer 148 USPQ 268 and Ex parte Hartop 139 USPQ 525, that a product which are merely different forms of known compounds, notwithstanding that some desirable results are obtained therefrom, are unpatentable. The instant specification and claims disclosed known compound of the sodium salt of benzisoxazole methane sulfonic acid in Form II, which is the same pure substance as the prior art, only having different arrangements and/or different conformations of the molecule. Mere difference in physical property is well known conventional variation for the same pure substance (see Brittain p. 1-2), i.e. prima facie obvious. For a known compound with defined chemical nature to be patentable for a new form, it must have a patentability basis of an advantage in terms of stability, formulation, solubility, bioavailability, ease of purification, preparation or synthesis, hydroscopicity, recovery or prevention of precipitation etc. (see p. 185).

The instant claims are claiming a product which is the same pure substance as the prior art, and only has different arrangements and/or different conformations of the molecules without any disclosure of any unexpected properties. The mere differences in the physical properties are well known conventional variations for the same pure substance and are prima facie obvious over the prior art.

Claims 39-43 are rejected under 35 U.S.C. 103(a) as being obvious over US Patent No. 6,677,458 and Brittain.

The applied reference has a common inventor with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). This rejection might also be overcome by showing that the reference is disqualified under 35 U.S.C. 103(c) as prior art in a rejection under 35 U.S.C. 103(a). See MPEP § 706.02(l)(1) and § 706.02(l)(2).

Determination of the scope and content of the prior art

US Patent No. 6,677,458 discloses solid compounds of the same chemical structure. Specifically, the reference discloses BOS-Na on column 4, lines 42-49.

Brittain teaches us that it is a well known fact that "many pharmaceutical solids exhibit polymorphism which is frequently defined as the ability of a substance to exist as two or more crystalline phases that have different arrangements and/or conformations

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of the molecules in the crystal lattice.” Thus, in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2).

Ascertainment of the difference between the prior art and the claims at issue

The difference between the prior art disclosure and the instant claims is that the physical property of the prior art product was not expressly included. Brittain taught that “in the strictest sense, polymorphs are different crystalline forms of the same pure substance in which the molecules have different arrangements and/or different conformations of the molecules (see Brittain p. 1-2).

Resolving the level of ordinary skill in the pertinent art

One having ordinary skill in the art would find the claims prima facie obvious because the instant claims differ from the known product merely by forms and the physical properties innate to the forms. As it was recognized in the art that in the pharmaceutical field, many solids exhibit polymorphism which is the innate nature of the particular drug (see US Pharmacopia #23, national formulary #18). There is nothing unobvious about the innate nature of a drug. It is also recognized in the art that the innately existed different “morph” will display different physical properties such as X-ray diffraction patten, melting point etc. (see Brittian p. 178-179, 219). Just because it is “different” does not merit the new form patentability. As it was clearly stated by one having ordinary skill in the art in Brittain (p. 1-2) supra, as well as set forth by the court in In re Cofer 148 USPQ 268 and Ex parte Hartop 139 USPQ 525, that a product which are merely different forms of known compounds, notwithstanding that some desirable

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results are obtained therefrom, are unpatentable. The instant specification and claims disclosed known compound of the sodium salt of benzisoxazole methane sulfonic acid in Form II, which is **the same pure substance** as the prior art, only having different arrangements and/or different conformations of the molecule. Mere difference in physical property is well known conventional variation for the same pure substance (see Brittain p. 1-2), i.e. prima facie obvious. For a known compound with defined chemical nature to be patentable for a new form, it must have a patentability basis of an advantage in terms of stability, formulation, solubility, bioavailability, ease of purification, preparation or synthesis, hygroscopicity, recovery or prevention of precipitation etc. (see p. 185).

The instant claims are claiming a product which is the same pure substance as the prior art, and only has different arrangements and/or different conformations of the molecules without any disclosure of any unexpected properties. The mere differences in the physical properties are well known conventional variations for the same pure substance and are prima facie obvious over the prior art.

Conclusion

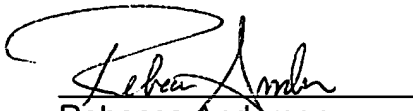
Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Rebecca L. Anderson whose telephone number is (571) 272-0696. Mrs. Anderson can normally be reached Monday through Friday 5:30AM to 2:00PM.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Mr. Joseph K. McKane, can be reached at (571) 272-0699.

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The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



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